

CORRESPONDENCE RECEIPT

New Application

B1C. 0065.01 - Sevim Z. Khan et al.

- Application Data Sheet (1 orig.)
- Utility Patent Appl. Transmittal (1 orig.)
- Fee Transmittal Form (2 orig.)
- Specification (24 pages)
- Drawings (Fig. 1)
- Executed Declaration (1 orig.)
- Power of Attorney (3 orig.)
- NonPublication Request (1 orig.)
- Information Discl. Statement (2 pages)
  - Reference (AA, AB, AR1, AS1 (4 Refs.)
- Assignment Recordation (2 orig.)
  - Executed Assignment (2 orig.)

J1011 U.S. PTO  
10/05/488  
01/25/02  


C.M. Express EK 933953375 US - 01/25/02

Express # EK 933953375 US  
 I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express  
 all in an Envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on  
 January 25, 2002  
 Date of Deposit  
 Name of Depositor  
 Curtis P. Ribando  
 Signature

*Curtis P. Ribando*  
 January 26, 2002  
 Date of Signature

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032  
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>		<b>Attorney Docket</b>	<b>0065.01</b>
(Only for new nonprovisional applications under 37 CFR 1.53(b))		<b>First Inventor</b>	Sevim Z. Erhan
		<b>Title</b>	Chemically Modified Vegetable Oil-Based Industrial Fluid
		<b>Express Mail Label</b>	EK 933953375 US
<b>APPLICATION ELEMENTS</b>		Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231	
<p>See MPEP chapter 600 concerning utility patent application contents.</p> <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)  <i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.  <i>See 37 CFR 1.27. 2.</i></p> <p>3. <input checked="" type="checkbox"/> Specification <u>[Total Pages 24]</u>  <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <u>[Total Sheets 1]</u></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration <u>[Total Pages 1]</u> <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))  <i>(for continuation/divisional with Box 17 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>  <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i></li> </ul> </li> </ul> </p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. <input type="checkbox"/> Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p>			

<b>ACCOMPANYING APPLICATION PARTS</b>	
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of <i>(when there is an assignee)</i> Attorney</p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input checked="" type="checkbox"/> Nonpublication Request Under 35 USC 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input type="checkbox"/> Other: _____</p>	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No. \_\_\_\_\_.

Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		25712	
Name (Print/Type)	Curtis P. Ribando	Registration No. (Attorney/Agent)	27,976
Signature	<i>Curtis P. Ribando</i>		Date 1-25-02

(Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.)

# FEE TRANSMITTAL FY2002

Total Amount of Payment **\$ 856.00**

Complete If Known:

Application Number: New  
 Filing Date: January 25, 2002  
 First Named Inventor: Sevim Z. Erhan et al.  
 Examiner Name:  
 Group/Art Unit:  
 Attorney Docket Number: 0065.01

Method of Payment

1. The Commissioner is hereby authorized to charge any fees set forth in CFR §§ 1.116 to 1.118 to the Deposit Account listed below for the entire pendency of the application, or credit any overpayment to this account. A duplicate copy of this sheet is enclosed:

Deposit Account Number: **01-0455**

Deposit Account Name: **USDA-ARS-OTT**

Fee Calculation:

1. Basic Filing Fee

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740.00	Utility Filing Fee	740.00
106	330.00	Design Filing Fee	
107	510.00	Plant Filing Fee	
108	740.00	Reissue Filing Fee	
114	160.00	Provisional Filing Fee	
SUBTOTAL (1)			\$ 740.00

2. Extra Claim Fees

Total Claims	Independent Claims	Extra Claims	Fee From Below	Fee Paid
22	-20**	= 2	X 18.00	= \$ 36.00
Claims	-3**	= -	X 84.00	= -
Multiple Dependent	-	X -	-	-

\*\* or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Fee Description
103	18.00	Claims in excess of 20
102	84.00	Independent claims in excess of 3
104	280.00	Multiple dependent claims, if not paid
109	84.00 **	Reissue Independent claims over original patent
110	18.00 **	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) \$ 36.00		

FEE CALCULATION (Continued)

3. Additional Fees

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130.00	Surcharge - late filing fee or oath	
127	50.00	Surcharge - late provisional filing fee or cover sheet	
139	130.00	Non-English specification	
147	2,520.00	For filing a request for examination	
112	920.00*	Requesting publication of SIR prior to Examiner action	
113	1,840.00*	Requesting publication of SIR after Examiner action	
115	110.00	Extension for reply within first month	
116	400.00	Extension for reply within second month	
117	920.00	Extension for reply within third month	
118	1,440.00	Extension for reply within fourth month	
128	1,960.00	Extension for reply within fifth month	
119	320.00	Notice of Appeal	
120	320.00	Filing a brief in support of an appeal	
121	280.00	Request an oral hearing	
138	1,510.00	Petition to institute a public use proceeding	
140	110.00	Petition to revive - unavoidable	
141	1,280.00	Petition to revive - unintentional	
142	1,280.00	Utility issue fee (or reissue)	
143	460.00	Design issue fee	
144	620.00	Plant issue fee	
122	130.00	Petitions to the Commissioner	
123	50.00	Petitions related to provisional applications	
126	180.00	Submission of Information Disclosure Statement	
581	40.00	Recording each patent assignment per property (times number of properties)	\$ 40.00
146	740.00	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740.00	For each additional invention to be examined (37 CFR § 1.129(b))	
Other Fee (specify) <u>Penn State Assignment</u>			\$ 40.00
Other Fee (specify) _____			

\* Reduced by Basic Filing Paid

SUBTOTAL (3) \$ 80.00

Submitted By

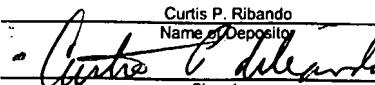
Name (Print/Type)	Curtis P. Ribando	Registration No. (Attorney/Agent)	27,976	Telephone	309/681-6512 309/681-6688 FAX
Signature				Date	1-25-02

Fees current as of 10/01/2001

Express # **EK 933953375 US**  
 I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

January 25, 2002  
 (Date of Deposit)

Curtis P. Ribando  
 Name of Depositor



Signature

January 25, 2002

Date of Signature